

A New Characterization of König-Egervary Graphs

Craig Larson

CanaDAM
May 27, 2009

The **König-Egervary Theorem**: For a bipartite graph, the sum of the independence number α of the graph and its matching number μ equals its number of vertices n (i.e. $\alpha + \mu = n$).

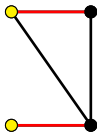


$$\alpha = 2,$$

$$\mu = 1, \text{ and}$$

$$n = 3$$

A **König-Egervary graph** (or KE graph) is a graph where the sum of the independence number α of the graph and its matching number μ equals its number of vertices n (i.e. $\alpha + \mu = n$).



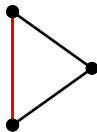
$$\alpha = 2,$$

$$\mu = 2, \text{ and}$$

$$n = 4$$

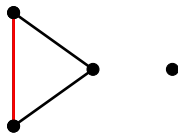
Deming's Characterization (1979)

- ▶ It can be assumed that the matching M is perfect.
- ▶ If not, extend G to a graph G' .



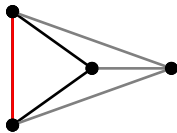
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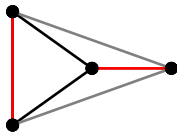
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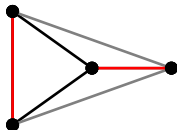
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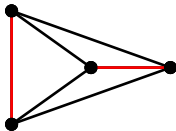
- ▶ It can be assumed that the matching M is perfect.
- ▶ If not, extend G to a graph G' .



- ▶ G is KE iff its extension G' is KE.

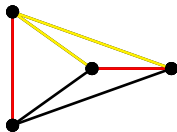
Deming's Characterization (1979)

- ▶ Find all blossoms and blossom tips with respect to the matching M .



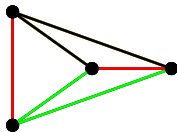
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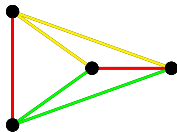
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Deming's Characterization (1979)

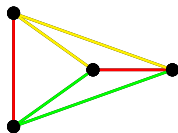
- ▶ A **blossom pair** is a pair of blossoms whose blossom tips are joined by an M -alternating path beginning and ending with edges in M .



Deming's Characterization (1979)

Theorem

A graph G with a perfect matching M is KE iff G contains no blossom pair.



$$\alpha = 1$$

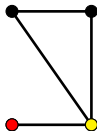
$$\mu = 2$$

$$n = 4$$

A New Characterization of KE Graphs

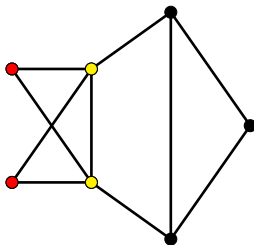
- ▶ This is in terms of **critical independent sets** (Zhang, 1990).

The **critical difference** d is the maximum value of $|I| - |N(I)|$, for all independent sets I . An independent set I_c which realizes d is a **critical independent set**.



Let I_c =red vertices,
then $N(I_c)$ =yellow vertices,
and $|I| - |N(I)| = 0$.
 $d = 0$ and I_c is a critical independent set.

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The Significance of Critical Independent Sets

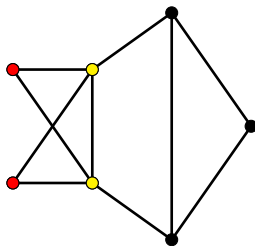
Theorem

(Butenko & Trukhanov, 2007) Any critical independent set can be extended to a maximum independent set.

The Matching Lemma (I.)

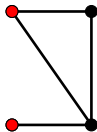
Lemma

For any critical independent set I_C , there is a matching from $N(I_C)$ into I_C .



- ▶ This follows from Hall's Theorem.

The **critical independence number** α' is the cardinality of a maximum independent set which realizes the critical difference d . If I_c is a maximum cardinality critical independent set then $\alpha' = |I_c|$.

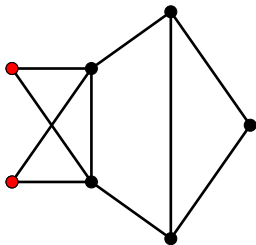


Let I_c = red vertices,

I_c is a maximum cardinality critical independent set.

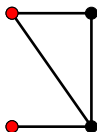
So, $\alpha' = 2$.

The **critical independence number** α' is the cardinality of a maximum independent set which realizes the critical difference d . If I_c is a maximum cardinality critical independent set then $\alpha' = |I_c|$.



Let $I_c = \text{red vertices}$,
 I_c is a maximum cardinality critical independent set.
So, $\alpha' = 2$.

A graph is **totally independence reducible** if $\alpha' = \alpha$.



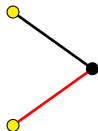
Let I_c = red vertices,
 I_c is a maximum cardinality critical independent set
and a maximum independent set.
So, $\alpha' = \alpha$.

For what graphs does $\alpha' = \alpha$?

- ▶ DeLaVina asked Graffiti.pc...



Graffiti.pc's Conjecture: $\alpha' = \alpha$ iff G is a KE-graph.



$$\begin{aligned}\alpha &= \alpha' = 2, \\ \mu &= 1, n = 3, \text{ and} \\ \alpha + \mu &= n\end{aligned}$$

Theorem: $\alpha' = \alpha$ iff G is a KE-graph.

Proof.

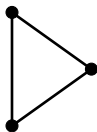
- ▶ Suppose $\alpha' = \alpha$.
- ▶ Let I be a maximum critical independent set (and a maximum independent set).
- ▶ There is a matching from $N(I)$ into I .
- ▶ Clearly, $\mu = |N(I)|$.
- ▶ So, $\alpha + \mu = |I| + |N(I)| = n$.

Theorem: $\alpha' = \alpha$ iff G is a KE-graph.

- ▶ Now suppose G is a KE-graph.
- ▶ Let I_c be a maximum critical independent set, and I be a maximum independent set such that $I_c \subseteq I$.
- ▶ G is KE implies there is a matching from $N(I)$ into I and, in particular, from $N(I) \setminus N(I_c)$ into $I \setminus I_c$.
- ▶ So $|I \setminus I_c| \geq |N(I) \setminus N(I_c)|$.
- ▶ But $|I| - |N(I)| = |I \setminus I_c| + |I_c| - (|N(I) \setminus N(I_c)| + |N(I_c)|) = (|I_c| - |N(I_c)|) + (|I \setminus I_c| - |N(I) \setminus N(I_c)|)$.
- ▶ So $I = I_c$, and $\alpha' = \alpha$.

A graph is **independence irreducible** if $\alpha' = 0$.

- ▶ For these graphs, for every independent set I , $|N(I)| > |I|$.



$I_c = \emptyset$ is the unique critical independent set,

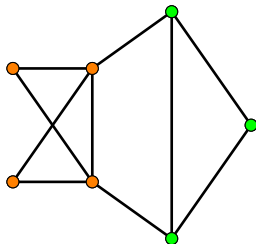
So, $\alpha' = |I_c| = 0$.

An Independence Decomposition

Theorem: For any graph G , there is a unique set $X \subseteq V(G)$ such that

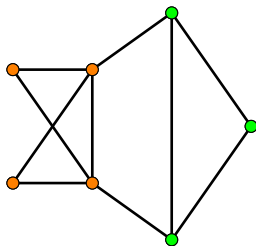
1. $\alpha(G) = \alpha(G[X]) + \alpha(G[X^c])$,
2. $G[X]$ is totally independence reducible ($\alpha' = \alpha$),
3. $G[X^c]$ is independence irreducible ($\alpha' = 0$), and
4. for every maximum critical independent set J_c of G ,
 $X = J_c \cup N(J_c)$.

An Independence Decomposition



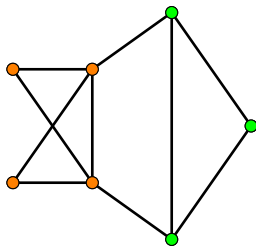
- ▶ X is orange, X^c is green,
- ▶ $G[X]$ is totally reducible ($\alpha' = \alpha$), and
- ▶ $G[X^c]$ is independence irreducible ($\alpha' = 0$).

An Independence Decomposition



- ▶ $\alpha(G) = \alpha(G[X]) + \alpha(G[X^c]) = 3$.
- ▶ Every graph decomposes into a KE graph and a graph where every independent set I has more than $|I|$ neighbors.

A Question: For what graphs does $\alpha = \mu$?



$$\alpha = \mu = 3.$$

A Matching Decomposition

Corollary

If J_c is a maximum critical independent set of G and $X = J_c \cup N(J_c)$, then

$$\mu(G) = \mu(G[X]) + \mu(G[X^c]).$$

- ▶ Every graph can be decomposed into a KE graph and a graph where, for every independent set I , I has more than $|I|$ neighbors.
- ▶ For KE graphs, $\alpha \geq \mu$.

The Matching Lemma (II.)

Lemma

If G is independence irreducible ($\alpha' = 0$), and I is any independent set, then there is a matching from I into $N(I)$.

- ▶ This follows from Hall's Theorem.
- ▶ For independence irreducible graphs, $\alpha \leq \mu$.

A Hint

Given the independence decomposition $V(G) = X \cup X^c$,

- ▶ $\alpha(G[X]) \geq \mu(G[X])$,
- ▶ $\alpha(G[X^c]) \leq \mu(G[X^c])$,
- ▶ $\alpha(G) = \alpha(G[X]) + \alpha(G[X^c])$,
- ▶ $\mu(G) = \mu(G[X]) + \mu(G[X^c])$.

So, $\alpha = \mu$ implies $\alpha(G[X]) - \mu(G[X]) = \mu(G[X^c]) - \alpha(G[X^c])$.

Selected References

- ▶ E. DeLaVina, Written on the Wall II, Conjectures of Graffiti.pc, at:
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- ▶ R. W. Deming, Independence Numbers of Graphs—an Extension of the Koenig-Egervary Theorem, *Discrete Mathematics*, 27 (1979) 23–33.
- ▶ C.-Q. Zhang, Finding critical independent sets and critical vertex subsets are polynomial problems, *SIAM J. Discrete Mathematics*, 3:431–438, 1990.
- ▶ S. Butenko and S. Trukhanov, Using Critical Sets to Solve the Maximum Independent Set Problem, *Operations Research Letters* 35(4) (2007) 519–524.
- ▶ C. E. Larson, A Note on Critical Independent Sets, *Bulletin of the Institute of Combinatorics and its Applications*, Sept. 2007.
- ▶ C. E. Larson, The Critical Independence Number and an Independence Decomposition, submitted.