

# Combinatorial properties of $f$ -palindromes

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# Outline

Combinatorial  
properties of  
 $f$ -palindromes

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talk

Definitions  
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# Aims of the talk

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- Hof, Knill and Simon conjectured in 1995 a **characterization of the fixed point of morphisms having an infinite palindrome complexity** (the number of palindrome factors).
- Recently, this conjecture was **solved for the binary alphabet** (Tan, 2007).
- We show a similar result for fixed points of **uniform morphisms having an infinite number of  $f$ -palindromes**.

# Definitions and notations

- A set  $\Sigma$  called **alphabet** whose elements are called **letters**.
- Elements  $w$  of the free monoid  $\Sigma^*$  are called **words**. We note  $w \in \Sigma^*$  and

$$w = w_0 w_1 w_2 \cdots w_{n-1}, w_i \in \Sigma.$$

- The **length** of  $w$  is  $|w| = n$ .
- An **infinite word**  $w = w_0 w_1 \cdots$  is a map  $w : \mathbb{N} \rightarrow \Sigma$ .
- If  $w = pfs$ , then  $p$  is called a **prefix**,  $f$  a **factor** and  $s$  a **suffix** of  $w$ .
- **Fact( $w$ )** is the set of the (finite) factors of  $w$ .

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- The **reversal** of a finite word  $w$

$$\tilde{w} = w_{n-1} w_{n-2} \cdots w_1 w_0.$$

- A **palindrome** is a word  $w$  such that  $w = \tilde{w}$ .
- $\text{Pal}(w) = \text{Fact}(w) \cap \text{Pal}(\Sigma^*)$  is the set of the palindrome factors of  $w$ .

# Definitions and notations

- A **morphism** is a function  $\varphi : \Sigma^* \rightarrow \Sigma^*$  such that

$$\varphi(uv) = \varphi(u)\varphi(v) \quad \text{for all } u, v \in \Sigma^*.$$

- A morphism  $\varphi$  is **primitive** if there exists  $k \in \mathbb{N}$  such that every letters of  $\Sigma$  appear in  $\varphi^k(\alpha)$  for all  $\alpha \in \Sigma$ .
- A morphism is **uniform** if  $|\varphi(\alpha)| = |\varphi(\beta)|$  for all  $\alpha, \beta \in \Sigma$ .
- We denote by  $\tilde{\varphi}$  the morphism defined by  $\alpha \mapsto \widetilde{\varphi(\alpha)}$ .
- A **fixed point** of a morphism  $\varphi$  is a word  $w$  such that  $\varphi(w) = w$ .
- We say that  $\varphi$  is a **right-conjugate** of  $\varphi'$  if there exists a word  $u \in \Sigma^*$  such that

$$\varphi(\alpha)u = u\varphi'(\alpha), \quad \text{for all } \alpha \in \Sigma.$$

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## Example

The **non primitive** morphism defined on  $\Sigma = \{a, b, c, d, e\}$  by  $a \mapsto ab, b \mapsto ba, c \mapsto cd, d \mapsto c, e \mapsto e$  has **two finite fixed points** :

- $\varepsilon$ , the empty word
- $e$

and **three infinite fixed points** :

- $abbabaabbaababbaabba \dots$
- $baababbaabbabaabbaab \dots$
- $cdccdc d c c d c c d c c d c c d c c d c c d c c d c c d c c d c c d c \dots$

Each fixed point may be obtained by considering

$$\lim_{n \rightarrow \infty} \varphi^n(\alpha), \alpha \in \Sigma.$$

# About palindrome complexity

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## Proposition (Droubay, Justin, Pirillo, 2001)

Let  $w$  be a finite word. Then,

$$|\text{Pal}(w)| \leq |w| + 1$$

and Sturmian words reach that bound.

## Definition (Brek, Hamel, Nivat, Reutenauer, 2004)

Let  $w$  be a finite word. The **defect**  $D(w)$  of  $w$  is

$$D(w) = |w| + 1 - |\text{Pal}(w)|.$$

and  $w$  is **full** if  $D(w) = 0$ . Moreover, the **defect of a infinite word** is the supremum of the defect of its finite prefixes.

Full words are also called **rich** in the recent literature.



# Hof, Knill and Simon Conjecture

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## Definition (Hof, Knill and Simon, 1995)

A morphism  $\varphi$  is in **class  $\mathcal{P}$**  if there exists a palindrome  $p$  and for each  $\alpha \in \Sigma$  there exists a palindrome  $q_\alpha$  such that  $\varphi(\alpha) = pq_\alpha$ .

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## The morphism

$$\begin{aligned}\varphi : \{a, b\}^* &\rightarrow \{a, b\}^* \\ a &\mapsto bb \cdot aba \\ b &\mapsto bb \cdot a\end{aligned}$$

is in class  $\mathcal{P}$ . It has only **one fixed point** beginning by letter  $b$ .

$i$	0	1	2	3	4	5	6	7
$ \varphi^i(a) $	1	5	19	71	265	989	3691	13775
$ \text{Pal}(\varphi^i(a)) $	2	6	20	72	266	990	3692	13776

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The square of the **Thue-Morse morphism**

$\mu : a \mapsto ab, b \mapsto ba$  is in class  $\mathcal{P}$  :

$$\begin{aligned}\mu^2 : \{a, b\}^* &\rightarrow \{a, b\}^* \\ a &\mapsto abba \\ b &\mapsto baab\end{aligned}$$

The **palindrome complexity table** of one of its fixed point is :

$i$	0	1	2	3	4	5	6	7
$ \mu^i(a) $	1	2	4	8	16	32	64	128
$ \text{Pal}(\mu^i(a)) $	2	3	5	9	15	29	53	109

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## The morphism

$$\begin{aligned}\varphi : \{a, b\}^* &\rightarrow \{a, b\}^* \\ a &\mapsto abb \\ b &\mapsto ba\end{aligned}$$

is not in class  $\mathcal{P}$ . It has **two infinite fixed points** having both **23 palindromes** :

$i$	0	1	2	3	4	5	6	7	8
$ \text{Pal}(\varphi^i(a)) $	2	4	8	15	23	23	23	23	23
$ \text{Pal}(\varphi^i(b)) $	2	3	6	13	18	23	23	23	23

# Hof, Knill and Simon Conjecture

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In their article, Hof, Knill and Simon also said :

*“Clearly, we could include into class  $\mathcal{P}$  substitutions of the form  $s(\alpha) = q_\alpha p$ . We do not know whether all palindromic  $x_s$  arise from substitutions that are in this extended class  $\mathcal{P}$ .”*

Their quote is now called HKS Conjecture and it may be stated in the following way :

**Conjecture (Hof, Knill, Simon, 1995)**

*Let  $w$  be a fixed point of a primitive morphism. Then,  $|\text{Pal}(w)| = \infty$  if and only if there exists a morphism  $\varphi$  such that  $\varphi(w) = w$  and such that **either  $\varphi$  or  $\tilde{\varphi}$  is in class  $\mathcal{P}$** .*

# Hof, Knill and Simon Conjecture

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## Proposition (Blondin-Massé, 2007)

*The morphism  $\varphi$  defined by  $a \mapsto abbab, b \mapsto abb$  is such that neither  $\varphi$  nor  $\tilde{\varphi}$  are in class  $\mathcal{P}$  but  $\lim_{n \rightarrow \infty} \varphi^n(a)$  has an infinite number of palindromes.*

Hence, HKS Conjecture must be restated :

## Conjecture

*Let  $w$  be a fixed point of a primitive morphism. Then,  $|\text{Pal}(w)| = \infty$  if and only if there exists a morphism  $\varphi$  such that  $\varphi(w) = w$  and such that  $\varphi$  has a conjugate in class  $\mathcal{P}$ .*

This question was solved recently in the **binary case** (B. Tan, 2007).

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First, we obtained a result less general than B. Tan :

## Theorem

*Let  $\Sigma = \{a, b\}$ ,  $\varphi : \Sigma^* \mapsto \Sigma^*$  be a primitive **uniform** morphism and  $w = \varphi(w)$  a fixed point. Then,  $w$  contains arbitrarily long palindromes if and only if  $\varphi$ ,  $\tilde{\varphi}$  or  $\varphi^2$  is in class  $\mathcal{P}$ .*

Our approach is making use of  $f$ -palindromes. Therefore, we also **obtained an interesting and similar result for  $f$ -palindromes...**

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Let  $f : \Sigma \rightarrow \Sigma$  be an involution which extends to a morphism on  $\Sigma^*$ . We say that  $w \in \Sigma^*$  is an  $f$ -palindrome if  $w = f(\tilde{w})$ .

They are also called  $f$ -pseudo-palindrome in the literature (Anne, Zamboni, Zorca, 2005 ; de Luca, De Luca, 2006 ; Halava, Harju, Kärki, Zamboni, 2007).

## Example

Let  $\Sigma = \{a, b\}$  and  $E$  be the involution  $a \mapsto b, b \mapsto a$ . The words

$\varepsilon, ab, ba, abab, aabb, baba, bbaa, abbaab, bababa$

are  $E$ -palindromes.



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## Definition

We say that a morphism  $\varphi$  is in **class  $f\mathcal{P}$**  if there exists an  $f$ -palindrome  $p$  and for each  $\alpha \in \Sigma$  there exists a  $f$ -palindrome  $q_\alpha$  such that  $\varphi(\alpha) = pq_\alpha$ .

Our second result is :

## Theorem

Let  $\Sigma = \{a, b\}$ ,  $\varphi : \Sigma^* \mapsto \Sigma^*$  be a primitive **uniform** morphism and  $w = \varphi(w)$  an fixed point. If  $w$  contains arbitrarily long  $E$ -palindromes, then either  $\varphi$ ,  $\tilde{\varphi}$ ,  $\varphi \circ \mu$  or  $\tilde{\varphi} \circ \mu$  is in **class  $E\mathcal{P}$** , where  $\mu$  is the Thue-Morse morphism.

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This talk belongs to a more general project which is to **find a complete characterization** of all the fixed points  $\mathbf{u}$  of morphism for the **four classes** that emerge from palindrome complexity  $|\text{Pal}(\mathbf{u})|$  and defect  $D(\mathbf{u})$ .

$ \text{Pal}(\mathbf{u}) $	$D(\mathbf{u})$	Examples
$\infty$	0	Sturmian words, Fibonacci word.
$\infty$	$0 < D(\mathbf{u}) < \infty$	$(aababbaabbabaa)^\omega$
$\infty$	$\infty$	Thue-Morse word.
finite	$\infty$	$a \mapsto abb, b \mapsto ba$

**Conjecture (Blondin-Massé, Brlek, Labbé, 2008)**

*Let  $\mathbf{u}$  be the fixed point of a primitive morphism  $\varphi$ . If the defect of  $\mathbf{u}$  is such that  $0 < D(\mathbf{u}) < \infty$ , then  $\mathbf{u}$  is periodic.*

## Remerciements et Références..